

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Warren P. Williamson IV, Paul A. Spence and
Craig B. Berky

Atty. Docket: IDX-02A

Title: SURGICAL CLAMP DEVICES AND METHODS ESPECIALLY USEFUL IN CARDIAC
SURGERY

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

JC857 U.S. PTO
09/986260
11/08/01

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. __, filed on __.
- ☒ **Continuation of prior application Serial No. PCT/US00/12877, filed on May 11, 2000.**
- ☐ Continuation-in-part of prior application Serial No. __, filed on __.

For Divisional and Continuation applications ONLY:

- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).
- ☒ **Incorporation by Reference: The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.**

For all Divisional, Continuation and Continuation-in-part applications:

- ☐ Small Entity Status was requested in the prior application, and small entity status is still proper and desired.
- ☐ This application is assigned to __ by virtue of an earlier assignment filed in the prior application at Reel __, Frame __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☒ **Small Entity Status is Requested**

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	62	20	42	\$18	\$756.00
Independent Claims	6	3	3	\$84	\$252.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$740.00
TOTAL OF ABOVE CALCULATIONS					\$1,748.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$874.00
TOTAL					\$874.00

ENCLOSURES

☒ **Utility Patent Application Transmittal Form (in duplicate).**

☒ **Return Postcard.**

APPLICATION PAPERS

☒ **Utility Patent Application, with: cover sheet, 43 page(s) specification (including 62 total claim(s), of which 6 is(are) independent), and 1 page(s) abstract.**

☒ **Drawings: 10 sheet(s) of informal drawings (15 total figure(s)).**

☐ Microfiche Computer Program (Appendix).

☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.

☒ **An Executed Declaration, Power of Attorney and Petition Form.**

☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.

☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

☐ Assignment to __, Recordation Cover Sheet (Form PTO-1595)

☐ Preliminary Amendment (to be entered prior to calculation of fees)

☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)

☐ Other: __

CHECKS

☒ **A Check of \$874.00 for the filing fee.**

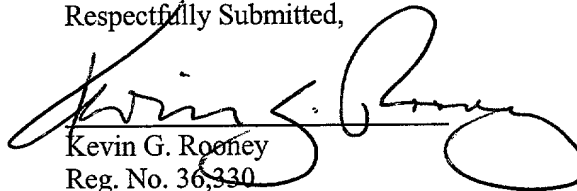
☐ A Check of __ for the assignment recording fee.

03900000 11000000

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of .
- ☒ **The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000 . A duplicate of this transmittal is attached.**
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.
-

Respectfully Submitted,


Kevin G. Rooney
Reg. No. 36,330

WOOD, HERRON & EVANS, L.L.P.
2700 Carew Tower
441 Vine St.
Cincinnati, Ohio 45202
(513) 241-2324

KGR/msh

09936250 110904